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FROM: Gonzalo Merino  
MATTER NO: 128425

LAN I.D. X14

DATE: June 19, 2002  
DIRECT DIAL: (212) 692-1858

TO: Mr. Preston Wallace  
COMPANY: Office Of Initial Patent  
Examination

FAX NO: 703-308-<sup>7751</sup>~~1202~~  
PHONE NO:

02 JUN 19 11:46

MESSAGE FROM SENDER TOTAL NO. OF PAGES: 15 (including this page)

**Office Of Initial Patent Examination**  
**Attn: Preston Wallace**

Re: U.S. Patent Application Serial No. 10/062,848  
For: **MODIFIED PHYTASES**  
Docket No. 13232 US2 (C38435/128425)

PLEASE DELIVER TO THE FOLLOWING:

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**EXHIBIT A**



## UNITED STATES PATENT AND TRADEMARK OFFICE

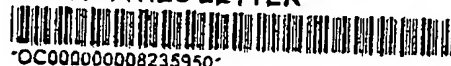
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,848	02/01/2002	Dirk Kostrewa	13232 US2 (C38435/128425)

Stephen M. Haracz, Esq.  
BRYAN CAVE LLP  
245 Park Avenue  
New York, NY 10167-0034

CONFIRMATION NO. 5651

## FORMALITIES LETTER



\*OC00000008235950\*

Date Mailed: 06/05/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 05/10/2002 to the Notice to File Missing Parts (Notice) mailed 03/06/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Dirk Kostrewa, Luis Pasamontes*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

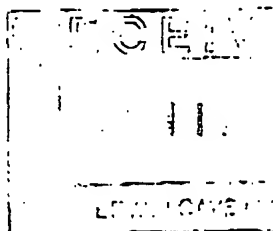
**EXHIBIT B**



## UNITED STATES PATENT AND TRADEMARK OFFICE

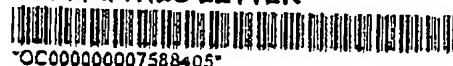
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/062,848	02/01/2002	Andrea Tomschy	13232US2(C38435/128425)

Stephen M. Haracz, Esq.  
BRYAN CAVE LLP  
245 Park Avenue  
New York, NY 10167-0034

CONFIRMATION NO. 5651

## FORMALITIES LETTER



\*OC000000007588405\*

Date Mailed: 03/06/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 3,9,13,18,19,23,28,29 and 34 are not in compliance with 37 CFR 1.52(a). The drawings filed are unacceptable because: Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.

A copy of this notice **MUST** be returned with the reply.

*M. Haracz*

*3/11/02*

*24*

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**EXHIBIT C**



February 1, 2002

Docket No. 13232US2 (C38435/128425)

In re Rule 53(b) Divisional Application of: Dirk KOSTREWA, et al

Parent Serial No.: 09/044,718

Parent Filed: March 19, 1998

For: MODIFIED PHYTASES

Enclosed:

1. Certificate of Express Mailing (1 pg.);
2. Request For Filing A Rule 1.53(b) Divisional Application (4 pp) in duplicate;
3. Copy of Specification, Claims, and Abstract (39 pp.);
4. Copy of Declaration and Power of Attorney (3 pp) (executed);
5. Copy of Power of Attorney to Associate Attorney;
6. Preliminary Amendment (20 pp., including duplicate pg. 1);
7. Formal Drawings (Figs. 1-26, 96 pp.);
8. Request To Transfer Previously Filed Sequence Information (1 pg.) and copy of Sequence Listing (52 pp.);
9. Check for \$812.00 (Filing fee); and
10. Return Postcard.

EXPRESS MAIL EM 036757211 US

PLEASE DATE STAMP AND RETURN TO ACKNOWLEDGE RECEIPT

KCH/GM/srb

